

EXAMINER:

GROUP:

APPLICANT: Laurence Gainsborough

SERIAL NO: 09/922,007

FILED: August 6, 2001

FOR: APPARATUS AND METHOD OF CONTROL OF UNTRULY
PERSONS



Commissioner of Patents
Washington, D.C., 20231
U.S.A.

Dear Sir:

Small Entity Statement under 37 CFR 1.27

Laurence Gainsborough, whose full post office address is 720 Forbes Road, Winnipeg, Manitoba, Canada, R2N 4B2, as named inventor declares that he is qualified as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled above.

Respectfully submitted

LAURENCE GAINSBOROUGH

PER: _____

Adrian D. Battison
Registration No: 31,726

ADB/II

November 12, 2001

Adrian D. Battison

Winnipeg, Manitoba, Canada

Telephone (204) 947-1429 - FAX (204) 942-5723



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/922,007 | 08/06/2001 | Laurence Gainsborough | 85029-102 |

ADRIAN D. BATTISON ADE & COMPANY
1700-360 Main Street
Winnipeg MB, R3C 3Z3
CANADA



CONFIRMATION NO. 6898

FORMALITIES LETTER



OC00000000655577

Date Mailed: 09/14/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

*A copy of this notice **MUST** be returned with the reply.*

Sady
Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

11/15/2001 GTEFFERA 00000006 09922007

01 FC:201 370.00 OP
02 FC:205 65.00 OP

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
85029-102 JAB

In Re Application Of: Laurence Gainsborough

Serial No.
09/922,007

Filing Date
August 6, 2001

Examiner

Group Art Unit
2613

Invention:

APPARATUS AND METHOD OF CONTROL OF UNRULY PERSONS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Box Missing Parts

This is a response to the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533) mailed on
September 14, 2001
Date

Enclosed herewith for filing are the following:

- ☒ A copy of the Notice to File Missing Parts of Application - Filing Date Granted (PTO-1533). **(REQUIRED)**
- ☐ An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date.
- ☒ A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date.
- ☐ An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date.
- ☐ A verified English translation of the non-English language application papers as originally filed. It is requested that this translation be used as the copy for examination purposes in the United States Patent and Trademark Office.
- ☒ 1 verified small entity declaration(s)
 - ☒ is/are attached.
 - ☐ was/were filed on _____
- ☐ A separate request for refund.
- ☐ Other (list):

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533)(Small Entity)**

Docket No.
85029-102 JAB

In Re Application Of: **Laurence Gainsborough**

Serial No.
09/922,007

Filing Date
August 6, 2001

Examiner

Group Art Unit
2613

Invention:

APPARATUS AND METHOD OF CONTROL OF UNRULY PERSONS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

☒ Completion of application fees as calculated below:

☒ Utility application filing fee \$370.00

☐ Design application filing fee _____

☒ Total number of independent claims = 2 \$0.00

☒ Total number of claims = 15 _____

☐ Multiple dependent claims _____

☒ Surcharge for late payment of filing fee and/or late filing of original declaration or oath \$65.00

☐ Petition and fee for filing by other than all the inventors or a person not the inventor _____

☐ Fee for processing an application filed with a non-English language specification _____

☐ Fee for processing and retention of application _____

Total completion of application fees \$435.00

This is a request under the provisions of 37 CFR 1.136(a) to extend the period for filing a response to the above-identified Notice to File Missing Parts of Application. The requested extension is as follows (check time period desired). If an additional time extension is required, please consider this a petition therefor.

☐ One month ☐ Two months ☐ Three months ☐ Four months ☐ Five months

from: _____ until: _____
Date Date

Total time extension fees _____

Total fees due \$435.00

**Response To Notice To File Missing Parts Of Application
Filing Date Granted (PTO-1533) (Small Entity)**

Docket No.
85029-102 JAB

In Re Application Of: **Laurence Gainsborough**

Serial No.
09/922,007

Filing Date
August 6, 2001

Examiner

Group Art Unit
2613

Invention:

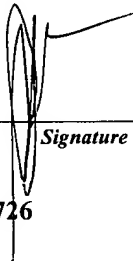
APPARATUS AND METHOD OF CONTROL OF UNRULY PERSONS

TO THE ASSISTANT COMMISSIONER FOR PATENTS:

Attention: Manager, Application Branch

The fee of **\$435.00** is to be paid as follows:

- ☒ A ^{check} ~~check~~ in the amount of the fee is enclosed.
- ☐ The Commissioner is hereby authorized to charge any fees which may be required, or credit any overpayment, to Deposit Account No.
A duplicate copy of this sheet is enclosed.
- ☒ If an additional extension of time is required, please consider this a petition therefor and charge any additional fees which may be required to Deposit Account No. **01-0310**
A duplicate copy of this sheet is enclosed.


Signature

Adrian D. Battison
Registration No: 31,726

ADB/II

Dated: **November 12, 2001**

CC:

I certify that this document and fee is being deposited on _____ with the U.S. Postal Service as first class mail under 37 C.F.R. 1.8 and is addressed to the Assistant Commissioner for Patents, Washington, D.C. 20231.

Signature of Person Mailing Correspondence

Typed or Printed Name of Person Mailing Correspondence